Receipt date: 10/02/2006

PTO/SB/08a (08-03) Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

	Application Number			
INFORMATION DISCLOSURE	Filing Date			
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	First Named Inventor Mich.		hael Swartz	
(Not for submission under 37 CFR 1.99)	Art Unit		TBD	
(Examiner Name	TBD		
	Attorney Docket Number		W-344-02	

U.S.PATENTS Remove											
Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue D	ate	Name of Pate of cited Docu	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear				
	1	5338454		1994-08	3-16	Keith J. Duff					
	2	6090250		2000-07	7-18	Mazzeo, et al					
If you wish	n to ac	dd additional U.S. Paten	t citatio	n inform	ation pl	ease click the	Add button.		Add		
U.S.PATENT APPLICATION PUBLICATIONS Remove											
Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publica Date	tion	Name of Patentee or Applicant of cited Document		Releva		Lines where les or Relev	
	1										
If you wish to add additional U.S. Published Application citation information please click the Add button.											
FOREIGN PATENT DOCUMENTS Remove											
Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ²		Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document		Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear		T5
	1										
If you wish to add additional Foreign Patent Document citation information please click the Add button Add											
NON-PATENT LITERATURE DOCUMENTS Remove											

/Ernest G. Therkorn/

08/03/2009

Receipt date: 10/02/2006		Application Number							
		Filing Date							
STATEMENT BY APPLICANT				First Named Inventor Michael		ael Swartz			
				Art Unit TBD					
(Not for submission under 37 CFR 1.99)		Examiner Name	TBD)					
			Attorney Docket Number W-		W-344-02	W-344-02			
Evaminer	Cite			CAPITAL LETTERS), titl					
Examiner	Cite							T5	
Initials*	No	(book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.							
	1							$ \Box$	
If you wis	h to ad	d add	litional non-patent literatu	re document citation info	matior	n please click the Add b	outton Add		
				EXAMINER SIGNA	TURE				
Examiner Signature /Ernest G. Therkorn/			Date Considered 08/03/		08/03/2009	2009			
				nether or not citation is in ed. Include copy of this for					
Standard ST	Γ.3). ³ F	or Japa	nese patent documents, the in-	SPTO.GOV or MPEP 901.04. Gliding and selection of the year of the reign don the document under WIPO	of the Er	mperor must precede the ser	ial number of the patent doc	cument.	

English language translation is attached.

Receipt date: 10	0/02/2006	Application Number	r							
		Filing Date								
	DISCLOSURE	First Named Invento	or Mich	ael Swartz						
	BY APPLICANT	Art Unit	l	TBD						
(Not for submission under 37 CFR 1.99)		Examiner Name	TBD							
		Attorney Docket Nu	umber	W-344-02	W-344-02					
		CERTIFICATION S	TATEME	NT.						
Please see 37 CFR 1	.97 and 1.98 to make the	appropriate selection	(s):							
That each item	of information contained	in the information dis	sclosure s	tatement was	first cited in any communication					
√ from a foreign p	atent office in a counterp	part foreign application			months prior to the filing of the					
information disci	osure statement. See 37 (CFR 1.97(e)(1).								
OR										
OK										
					ited in a communication from a					
					e person signing the certification closure statement was known to					
					ng of the information disclosure					
statement. See 3	37 CFR 1.97(e)(2).									
Soo attached co	rtification statement.									
		submitted berowith								
Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.										
None		SIGNATU	RE							
A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the										
form of the signature.										
Signature /Anthony J. Janiuk/		C	ate (YYY	Y-MM-DD)	2006-10-01					
Name/Print Anthony J. Janiuk		F	Registratio	n Number	29809					
This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR										
1.14. This collection is estimated to take 1 hour to complete, including gathering, preparing and submitting the completed										
application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you										
require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND										
FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria,										

/Ernest G. Therkorn/

08/03/2009

VA 22313-1450.

Receipt date: 10/02/2006

Privacy Act Statement

The Privacy Act of 1974 (P.L. 93-579) requires that you be given certain information in connection with your submission of the attached form related to a patent application or patent. Accordingly, pursuant to the requirements of the Act, please be advised that: (1) the general authority for the collection of this information is 35 U.S.C. 2(b)(2); (2) furnishing of the information solicited is voluntary; and (3) the principal purpose for which the information is used by the U.S. Patent and Trademark Office is to process and/or examine your submission related to a patent application or patent. If you do not furnish the requested information, the U.S. Patent and Trademark Office may not be able to process and/or examine your submission, which may result in termination of proceedings or abandonment of the application or expiration of the patent.

The information provided by you in this form will be subject to the following routine uses:

- 1. The information on this form will be treated confidentially to the extent allowed under the Freedom of Information Act (5 U.S.C. 552) and the Privacy Act (5 U.S.C. 552a). Records from this system of records may be disclosed to the Department of Justice to determine whether the Freedom of Information Act requires disclosure of these record s.
- A record from this system of records may be disclosed, as a routine use, in the course of presenting evidence to a court, magistrate, or administrative tribunal, including disclosures to opposing counsel in the course of settlement negotiations.
- 3. A record in this system of records may be disclosed, as a routine use, to a Member of Congress submitting a request involving an individual, to whom the record pertains, when the individual has requested assistance from the Member with respect to the subject matter of the record.
- 4. A record in this system of records may be disclosed, as a routine use, to a contractor of the Agency having need for the information in order to perform a contract. Recipients of information shall be required to comply with the requirements of the Privacy Act of 1974, as amended, pursuant to 5 U.S.C. 552a(m).
- A record related to an International Application filed under the Patent Cooperation Treaty in this system of records may be disclosed, as a routine use, to the International Bureau of the World Intellectual Property Organization, pursuant to the Patent Cooperation Treaty.
- 6. A record in this system of records may be disclosed, as a routine use, to another federal agency for purposes of National Security review (35 U.S.C. 181) and for review pursuant to the Atomic Energy Act (42 U.S.C. 218(c)).
- 7. A record from this system of records may be disclosed, as a routine use, to the Administrator, General Services, or his/her designee, during an inspection of records conducted by GSA as part of that agency's responsibility to recommend improvements in records management practices and programs, under authority of 44 U.S.C. 2904 and 2906. Such disclosure shall be made in accordance with the GSA regulations governing inspection of records for this purpose, and any other relevant (i.e., GSA or Commerce) directive. Such disclosure shall not be used to make determinations about individuals.
- 8. A record from this system of records may be disclosed, as a routine use, to the public after either publication of the application pursuant to 35 U.S.C. 122(b) or issuance of a patent pursuant to 35 U.S.C. 151. Further, a record may be disclosed, subject to the limitations of 37 CFR 1.14, as a routine use, to the public if the record was filed in an application which became abandoned or in which the proceedings were terminated and which application is referenced by either a published application, an application open to public inspections or an issued patent.
 - 9. A record from this system of records may be disclosed, as a routine use, to a Federal, State, or local law enforcement agency, if the USPTO becomes aware of a violation or potential violation of law or regulation.

/Ernest G. Therkorn/

08/03/2009